

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
3 April 2003 (03.04.2003)

PCT

(10) International Publication Number  
**WO 03/026624 A1**

(51) International Patent Classification<sup>7</sup>: **A61K 9/20**

(21) International Application Number: PCT/US02/31022

(22) International Filing Date:  
28 September 2002 (28.09.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/966,939 28 September 2001 (28.09.2001) US  
09/966,509 28 September 2001 (28.09.2001) US  
09/966,497 28 September 2001 (28.09.2001) US  
09/967,414 28 September 2001 (28.09.2001) US  
09/966,450 28 September 2001 (28.09.2001) US

(71) Applicant (for all designated States except US): **MC-NEIL-PPC, INC.** [US/US]; Grandview Road, Skillman, NJ 08558 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **LEE, Der-Yang** [US/US]; 10 Kentworth Court, Flemington, NJ 08822 (US). **LI, Shun-Por** [US/US]; 1615 Clearbrook Road, Lansdale, PA 19446 (US). **SOWDEN, Henry, S.** [US/US];

209 Woods Road, Glenside, PA 19038 (US). **THOMAS, Martin** [US/GB]; 7401 Trescott Drive, Lake Worth, , FL 33467 (US). **WYNN, David** [US/US]; 1267 Huntingdon Road, Abington, PA 19001 (US).

(74) Agents: **JOHNSON, Philip, S.** et al.; Johnson & Johnson, One Johnson & Johnson Plaza, New Brunswick, NJ 08933 (US).

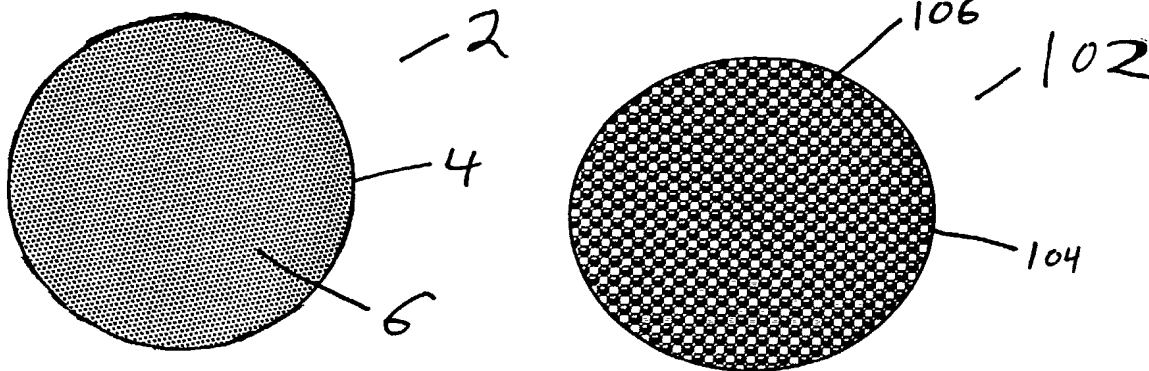
(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:  
— with international search report

[Continued on next page]

(54) Title: MODIFIED RELEASE DOSAGE FORMS



(57) Abstract: In one embodiment a dosage form comprises at least one active ingredient and a molded matrix which comprises 10?100% of a material having a melting point of less than about 100 degrees C selected from the stamp consisting of thermoplastic polyalkylene oxides, low melting hydrophobic materials, thermoplastic polymers, thermoplastic starches and combinations thereof, and the matrix is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium. The dosage form may additionally comprise uncoated particles which may contain at least one active ingredient. In another embodiment, a dosage form comprises at least one active ingredient, a plurality of particles and a molded matrix, wherein at least a portion of the particles are coated. The coated particles, the matrix or both may comprise at least one active ingredient, and the coated particles or the matrix or a combination thereof is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.



WO 03/026624 A1



— *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## **MODIFIED RELEASE DOSAGE FORMS**

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

[0001] This invention relates to modified release dosage forms such as modified release pharmaceutical compositions. More particularly, this invention relates to modified release dosage forms in which a molded matrix provides for modified release of at least one active ingredient contained within the dosage form upon contacting of the dosage form with a liquid medium.

#### 2. Background Information

[0002] Modified release pharmaceutical dosage forms have long been used to optimize drug delivery and enhance patient compliance, especially by reducing the number of doses of medicine the patient must take in a day. For this purpose, it is often desirable to modify the rate of release of a drug (one particularly preferred type of active ingredient) from a dosage form into the gastro-intestinal (g.i.) fluids of a patient, especially to slow the release to provide prolonged action of the drug in the body.

[0003] The rate at which an orally delivered pharmaceutical active ingredient reaches its site of action in the body depends on a number of factors, including the rate and extent of drug absorption through the g.i. mucosa. To be absorbed into the circulatory system (blood), the drug must first be dissolved in the g.i. fluids. For many drugs, diffusion across the g.i. membranes is relatively rapid compared to dissolution. In these cases, the dissolution of the active ingredient is the rate limiting step in drug absorption, and controlling the rate of

dissolution allows the formulator to control the rate of drug absorption into the circulatory system of a patient.

[0004] An important objective of modified release dosage forms is to provide a desired blood concentration versus time (pharmacokinetic, or PK) profile for the drug.

Fundamentally, the PK profile for a drug is governed by the rate of absorption of the drug into the blood, and the rate of elimination of the drug from the blood. The type of PK profile desired depends, among other factors, on the particular active ingredient, and physiological condition being treated.

[0005] One particularly desirable PK profile for a number of drugs and conditions, is one in which the level of drug in the blood is maintained essentially constant (i.e. the rate of drug absorption is approximately equal to the rate of drug elimination) over a relatively long period of time. Such systems have the benefit of reducing the frequency of dosing, improving patient compliance, as well as minimizing side effects while maintaining full therapeutic efficacy. A dosage form which provides a "zero-order," or constant, release rate of the drug is useful for this purpose. Since zero-order release systems are difficult to achieve, systems which approximate a constant release rate, such as for example first-order and square root of time profiles are often used to provide sustained (prolonged, extended, or retarded) release of a drug.

[0006] Another particularly desirable PK profile is achieved by a dosage form that delivers a delayed release dissolution profile, in which the release of drug from the dosage form is delayed for a pre-determined time after ingestion by the patient. The delay period ("lag time") can be followed either by prompt release of the active ingredient ("delayed

burst"), or by sustained (prolonged, extended, or retarded) release of the active ingredient ("delayed then sustained").

[0007] Well known mechanisms by which a dosage form (or drug delivery system) can deliver drug at a controlled rate (e.g. sustained, prolonged, extended or retarded release) include diffusion, erosion, and osmosis.

[0008] One classic diffusion-controlled release system comprises a "reservoir" containing the active ingredient, surrounded by a "membrane" through which the active ingredient must diffuse in order to be absorbed into the bloodstream of the patient. The rate of drug release,  $(dM/dt)$  depends on the area (A) of the membrane, the diffusional pathlength (l), the concentration gradient ( $\Delta C$ ) of the drug across the membrane, the partition coefficient (K) of the drug into the membrane, and the diffusion coefficient (D):

$$dM/dt = \{ADK\Delta C\} / l$$

[0009] Since one or more of the above terms, particularly the diffusional pathlength and concentration gradient tend to be non-constant, diffusion-controlled systems generally deliver a non-constant release rate. In general, the rate of drug release from diffusion-controlled release systems typically follows first order kinetics. One disadvantage of membrane-reservoir type systems is their vulnerability to "dose dumping." The diffusional membrane must remain intact without breach throughout the functional life of the dosage form in order to prevent this occurrence and the possibility of overdose along with the associated toxic side effects. One typical type of diffusional membrane-reservoir systems comprises a compressed tablet core which acts as the reservoir, surrounded by a shell (or coating) which functions as the diffusional membrane. Current core-shell systems are limited by the available methods for manufacturing them, as well as the materials that are suitable for use with the current

methods. A shell, or coating, which confers modified release properties is typically applied via conventional methods, such as for example, spray-coating in a coating pan. Pan-coating produces a single shell which essentially surrounds the core. Defects that commonly occur during coating, include “picking,” “sticking,” and “twinning,” all of which result in undesired holes in the coating, which lead to dose dumping. The coating compositions that can be applied via spraying are limited by their viscosity. High viscosity solutions are difficult or impractical to pump and deliver through a spray nozzle. Spray coating methods suffer the further limitations of being time-intensive and costly. Several hours of spraying may be required to spray an effective amount of coating to control the release of an active ingredient. Coating times of 8 to 24 hours are not uncommon.

[0010] Another common type of diffusion-controlled release system comprises active ingredient, distributed throughout an insoluble porous matrix through which the active ingredient must diffuse in order to be absorbed into the bloodstream of the patient. The amount of drug (M) released at a given time at sink conditions (i.e. drug concentration at the matrix surface is much greater than drug concentration in the bulk solution), depends on the area (A) of the matrix, the diffusion coefficient (D), the porosity (E) and tortuosity (T) of the matrix, the drug solubility (Cs) in the dissolution medium, time (t) and the drug concentration (Cp) in the dosage form:

$$M = A (DE/T(2C_p - EC_s) (C_s) t)^{1/2}$$

[0011] It will be noted in the above relationship that the amount of drug released is generally proportional to the square root of time. Assuming factors such as matrix porosity and tortuosity are constant within the dosage form, a plot of amount of drug released versus the square root of time should be linear. One typical type of diffusional matrix system may be prepared by compression of the active ingredient along with a mixture of soluble and

insoluble materials designed to produce a desired porosity and tortuosity as the soluble materials dissolve in the dissolution medium or gastro-intestinal fluids.

[0012] A commonly used erosion-controlled release system comprises a "matrix" throughout which the drug is distributed. The matrix typically comprises a material which swells at the surface, and slowly dissolves away layer by layer, liberating drug as it dissolves. The rate of drug release,  $(dM/dt)$ , in these systems depends on the rate of erosion  $(dx/dt)$  of the matrix, the concentration profile in the matrix, and the surface area (A) of the system:

$$dM/dt = A \{dx/dt\} \{f(C)\}$$

[0013] Again, variation in one or more terms, such as surface area, typically leads to a non-constant release rate of drug. In general, the rate of drug release from erosion-controlled release systems typically follows first order kinetics. One typical method of preparing such eroding matrix systems is by compression of the active ingredient blended with a mixture of compressible excipients comprising water swellable erodible materials which create a temporary barrier as they swell, and allow small amounts of active ingredient to be released as the continuously receding surface layer slowly dissolves in the dissolution medium or gastro-intestinal fluids.

[0014] Another type of erosion controlled delivery system employs materials which swell and dissolve slowly by surface erosion to provide a delayed release of pharmaceutical active ingredient. Delayed release is useful, for example in pulsatile or repeat action delivery systems, in which an immediate release dose is delivered, followed by a pre-determined lag time before a subsequent dose is delivered from the system. In these systems, the lag time ( $T_1$ ) depends on the thickness (h) of the erodible layer, and the rate of erosion  $(dx/dt)$  of the matrix, which in turn depends on the swelling rate and solubility of the matrix components:

$$T_1 = h \ (dx/dt)$$

[0015] The cumulative amount of drug (M) released from these systems at a given time generally follows the equation:

$$M = (dM/dt) (t - T_1)$$

where  $dM/dt$  is generally described by either the diffusion-controlled or erosion-controlled equations above, and  $T_1$  is the lag time.

[0016] Modified release dosage forms prepared via compression to obtain either diffusional or eroding matrices are exemplified in U.S. Patent Nos. 5,738,874 and 6,294,200, and WO 99/51209. Compressed dosage forms are limited by the achievable geometry's, as well as the suitable materials for producing them.

[0017] WO 97/49384 describes a hot-melt extrudable mixture of a therapeutic compound and a high molecular weight poly(ethylene oxide). In some embodiments, the formulation further comprises poly(ethylene glycol). The high molecular weight poly(ethylene oxide)s employed have molecular weights ranging from about 1 to about 10 million Daltons. The minimum ratio of high molecular weight poly(ethylene oxide) to active ingredient is 80:20. The dosage forms of this reference are limited in the amount of active ingredient they can deliver. The maximum amount of active ingredient that may be delivered in the composition is not more than 20 weight percent of the composition. Typical hot-melt systems are additionally limited by high processing temperatures, and are therefore not optimal for delivering low melting, or heat labile active ingredients. Typical hot-melt systems are additionally not optimal for delivering coated particles of active ingredients, due to both the high processing temperatures, and the high shear imparted during processing through extruders or spray nozzles.



[0018] It would be desirable to have a versatile and cost-effective method for preparing modified release matrix systems, which are not susceptible to dose dumping. It would additionally be desirable to have a method for preparing modified release matrix systems in a variety of shapes, for either functional purposes, e.g. achieving a desired release profile using certain advantageous geometries, or for consumer preference purposes, such as swallowability, dosage form elegance, and product identification and differentiation. It would additionally be desirable to have modified release matrix systems comprising a matrix which is transparent, semi-transparent, or translucent, through which various types of particles are visible to the consumer. It would additionally be desirable to have a controlled release matrix systems capable of delivering a relatively high level of active ingredient in a relatively small dosage form. It would additionally be desirable to have modified release matrix systems for delivering low-melting or heat labile active ingredients. It would additionally be desirable to have modified release matrix systems capable of delivering coated particles of active ingredient.

[0019] It is one object of this invention to provide a dosage form in which at least one active ingredient contained therein exhibits a modified release profile upon contacting of the dosage form with a liquid medium. Other objects, features and advantages of the invention will be apparent to those skilled in the art from the detailed description set forth below.

#### SUMMARY OF THE INVENTION

[0020] In one embodiment the dosage form of this invention of this invention comprises a molded matrix and at least one active ingredient. The matrix comprises 10-100% of a material having a melting point of less than about 100° C, selected from the group consisting of thermoplastic polyalkylene oxides, low melting hydrophobic materials, thermoplastic

polymers, thermoplastic starches and combinations thereof and the matrix is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.

[0021] In another embodiment, the dosage form comprises a molded matrix and at least one active ingredient at a level of more than about 20 weight percent. The matrix comprises 10-100% of a material having a melting point of less than about 100 degrees C, and the matrix is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.

[0022] In another embodiment, the dosage form comprises a plurality of particles, and at least a portion of the particles comprise at least one active ingredient.

[0023] In another embodiment, at least a portion of the particles are coated with a coating capable of providing modified release of the active ingredient contained therein upon contacting of the dosage form with a liquid medium.

[0024] In another embodiment, at least a portion of the particles are coated with a coating comprising means for providing modified release of the active ingredient contained therein upon contacting of the dosage form with a liquid medium.

[0025] In another embodiment, at least a portion of the particles are coated with a coating comprising 10-100 wt. % of a release-modifying polymer selected from the group consisting of pH-dependent polymers, water-soluble polymers, water-insoluble polymers, and copolymers, derivatives and mixtures thereof.

[0026] In another embodiment, the matrix comprises at least one active ingredient.

[0027] In another embodiment, upon contacting of the dosage form with a liquid medium, at least a portion of the active ingredient is released in a sustained manner.

[0028] In another embodiment, the dosage form releases active ingredient at a substantially constant rate.

[0029] In another embodiment, upon contacting of the dosage form with a liquid medium, a time delay occurs prior to release of at least a portion of the active ingredient.

[0030] In another embodiment, the portion of the active ingredient released after the time delay is released in a sustained manner.

[0031] In another embodiment, the dosage form additionally comprises a plurality of particles and a matrix which comprises a first dose of active ingredient. At least a portion of the particles comprise a second dose of active ingredient which may be the same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first dose of active ingredient occurs followed by a lag time, followed by delayed release of the second dose of active ingredient.

[0032] In another embodiment, the dosage form additionally comprises a plurality of particles and a matrix which comprises a first dose of active ingredient. At least a portion of the particles comprise a second dose of active ingredient which is the same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first dose of active ingredient occurs followed by sustained release of the second dose of active ingredient.

[0033] In another embodiment, the dosage form comprises at least one active ingredient, a molded matrix and a plurality of particles dispersed in the matrix, wherein at least a portion

of the particles are coated, and the dosage form is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.

[0034] In another embodiment, the matrix is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.

[0035] In another embodiment, the dosage form further comprises at least one uncoated active ingredient dispersed in the matrix.

[0036] In another embodiment, at least a portion of the coated particles comprise at least one active ingredient and are coated with a coating capable of providing modified release of the active ingredient contained therein upon contacting of the coated particle with a liquid medium.

[0037] In another embodiment, at least a portion of the particles are coated with a coating comprising 10-100 wt. % of a release-modifying polymer selected from the group consisting of pH-dependent polymers, water-soluble polymers, water-insoluble polymers, and copolymers, derivatives and mixtures thereof.

[0038] In another embodiment, upon contacting of the dosage form with a liquid medium, at least a portion of the active ingredient is released in a sustained manner.

[0039] In another embodiment, the dosage form releases at least a portion of the active ingredient at a substantially constant rate.

[0040] In another embodiment, upon contacting of the dosage form with a liquid medium, a time delay occurs prior to release of at least a portion of the active ingredient.

[0041] In another embodiment, the portion of the active ingredient released after the time delay is released in a sustained manner.

[0042] In another embodiment, the dosage form comprises first and second doses of active ingredients which may be the same or different, and upon contacting of the dosage form with a liquid medium, the first dose of active ingredient is released in a sustained manner, and a time delay precedes release of the second active ingredient.

[0043] In another embodiment, the matrix contains a first active ingredient dispersed therein and at least a portion of the particles comprise a second active ingredient which may be the same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first active ingredient occurs followed by a time delay, followed by release of the second active ingredient.

[0044] In another embodiment, the matrix contains a first active ingredient dispersed therein and at least a portion of the particles comprise a second active ingredient which is the same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first active ingredient occurs followed by sustained release of the second active ingredient.

[0045] In another embodiment, the thermal reversible carrier is selected from the group consisting of polycaprolactones, polyvinyl acetate, polyalkylene glycols and combinations thereof.

[0046] In another embodiment, in which the thermal reversible carrier is selected from the group consisting of polyethylene glycol having molecular weight from about 100 to about 20,000 Daltons, polyethylene oxide having a molecular weight from about 100,000 to about 900,000 Daltons, and combinations thereof.

[0047] In another embodiment, the thermal reversible carrier is from about 30 to about 70 weight percent of the matrix.

[0048] In another embodiment, the molded matrix further comprises a release-modifying moldable excipient selected from the group consisting of swellable erodible hydrophilic materials, pH-dependent polymers, insoluble edible materials, and pore-formers and combinations thereof.

[0049] In another embodiment, the level of release-modifying excipient is from about 1 percent to about 55 percent by weight of the molded matrix.

[0050] In another embodiment, the release-modifying excipient is shellac.

[0051] In another embodiment, the molded matrix further comprises a release-modifying excipient, and the release-modifying excipient is croscarmellose sodium.

[0052] In another embodiment, the dosage form further comprises tributyl citrate as a plasticizer.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0053] Fig. 1A depicts a cross-sectional side view of one embodiment of the dosage form of this invention.

[0054] Fig. 1B depicts a cross-sectional side view of another embodiment of the dosage form of this invention.

[0055] Fig. 2 depicts the % release of active ingredient vs. hours measured for the dosage form of Example 1.

## DETAILED DESCRIPTION OF THE INVENTION

[0056] As used herein, the term "dosage form" applies to any solid object, semi-solid, or liquid composition designed to contain a specific pre-determined amount (i.e. dose) of a certain ingredient, for example an active ingredient as defined below. Suitable dosage forms may be pharmaceutical drug delivery systems, including those for oral administration, buccal administration, rectal administration, topical or mucosal delivery, or subcutaneous implants, or other implanted drug delivery systems; or compositions for delivering minerals, vitamins and other nutraceuticals, oral care agents, flavorants, and the like. Preferably the dosage forms of the present invention are considered to be solid, however they may contain liquid or semi-solid components. In a particularly preferred embodiment, the dosage form is an orally administered system for delivering a pharmaceutical active ingredient to the gastro-intestinal tract of a human.

[0057] The dosage forms of the invention exhibit modified release of one or more active ingredients contained therein. One or more active ingredients may be found within the molded matrix, or coated or uncoated particles distributed therethrough. As used herein, the term "modified release" shall apply to dosage forms, matrices, particles, coatings, portions thereof, or compositions that alter the release of an active ingredient in any manner. Types of modified release include controlled, prolonged, sustained, extended, delayed, pulsatile, repeat action, and the like. Suitable mechanisms for achieving these types of modified release include diffusion, erosion, surface area control via geometry and/or impermeable barriers, or other mechanisms known in the art. Moreover, the modified release properties of the dosage form may be achieved through design of the matrix or a portion thereof, or a combination of matrix design with other features of the dosage form.

[0058] A first embodiment of this invention is depicted in Fig. 1A, which is a cross-sectional side view of a dosage form 2 which comprises a molded matrix 4 and a plurality of uncoated particles 6 which are contained within the matrix 4. In this embodiment, the matrix comprises 10-100% by weight of the matrix of a material having a melting point of less than about 100°C. The active ingredient or ingredients may be contained in the matrix, the particles, or a combination thereof. The matrix provides modified release of the active ingredient upon contacting of the dosage form with a liquid medium such as water, gastrointestinal fluid and the like. In other embodiments, the molded matrix 4 may contain active ingredient which is not in the form of particles.

[0059] Another embodiment of this invention is depicted in Fig. 1B, which is a cross-sectional side view of a dosage form 102 which comprises a molded matrix 104 containing a plurality of particles and at least a portion of the particles contained within the matrix are coated particles 106. In this particular embodiment, it is not required that the matrix comprise a material have a melting point of less than 100°C. The active ingredient or ingredients may be contained in the matrix, the coated particles, or a combination thereof. Either the particle coating, the matrix or both may provide modified release of the active ingredient upon contacting of the dosage form with a liquid medium such as water, gastrointestinal fluid and the like.

[0060] The active ingredient or ingredients employed in the dosage forms of this invention may be found within the matrix, the particles (whether coated or uncoated) or a combination thereof. Suitable active ingredients for use in this invention include for example pharmaceuticals, minerals, vitamins and other nutraceuticals, oral care agents, flavorants and mixtures thereof. Suitable pharmaceuticals include analgesics, anti-inflammatory agents, antiarthritics, anesthetics, antihistamines, antitussives, antibiotics, anti-infective agents,



antivirals, anticoagulants, antidepressants, antidiabetic agents, antiemetics, antiflatulents, antifungals, antispasmodics, appetite suppressants, bronchodilators, cardiovascular agents, central nervous system agents, central nervous system stimulants, decongestants, diuretics, expectorants, gastrointestinal agents, migraine preparations, motion sickness products, mucolytics, muscle relaxants, oral contraceptives, osteoporosis preparations, polydimethylsiloxanes, respiratory agents, sleep-aids, urinary tract agents and mixtures thereof.

[0061] Suitable oral care agents include breath fresheners, tooth whiteners, antimicrobial agents, tooth mineralizers, tooth decay inhibitors, topical anesthetics, mucoprotectants, and the like.

[0062] Suitable flavorants include menthol, peppermint, mint flavors, fruit flavors, chocolate, vanilla, bubble gum flavors, coffee flavors, liqueur flavors and combinations and the like.

[0063] Examples of suitable gastrointestinal agents include antacids such as calcium carbonate, magnesium hydroxide, magnesium oxide, magnesium carbonate, aluminum hydroxide, sodium bicarbonate, dihydroxyaluminum sodium carbonate; stimulant laxatives, such as bisacodyl, cascara sagrada, danthron, senna, phenolphthalein, aloe, castor oil, ricinoleic acid, and dehydrocholic acid, and mixtures thereof; H<sub>2</sub> receptor antagonists, such as famotadine, ranitidine, cimetadine, nizatidine; proton pump inhibitors such as omeprazole or lansoprazole; gastrointestinal cytoprotectives, such as sucralfate and misoprostol; gastrointestinal prokinetics, such as prucalopride, antibiotics for *H. pylori*, such as clarithromycin, amoxicillin, tetracycline, and metronidazole; antidiarrheals, such as

diphenoxylate and loperamide; glycopyrrolate; antiemetics, such as ondansetron, analgesics, such as mesalamine.

[0064] In one embodiment of the invention, the active ingredient or agent may be selected from bisacodyl, famotadine, ranitidine, cimetidine, prucalopride, diphenoxylate, loperamide, lactase, mesalamine, bismuth, antacids, and pharmaceutically acceptable salts, esters, isomers, and mixtures thereof.

[0065] In another embodiment, the active ingredient is selected from analgesics, anti-inflammatories, and antipyretics, e.g. non-steroidal anti-inflammatory drugs (NSAIDs), including propionic acid derivatives, e.g. ibuprofen, naproxen, ketoprofen and the like; acetic acid derivatives, e.g. indomethacin, diclofenac, sulindac, tolmetin, and the like; fenamic acid derivatives, e.g. mefanamic acid, meclofenamic acid, flufenamic acid, and the like; biphenylcarboxylic acid derivatives, e.g. diflunisal, flufenisal, and the like; and oxicams, e.g. piroxicam, sudoxicam, isoxicam, meloxicam, and the like. In a particularly preferred embodiment, the active ingredient is selected from propionic acid derivative NSAID, e.g. ibuprofen, naproxen, flurbiprofen, fenbufen, fenoprofen, indoprofen, ketoprofen, fluprofen, piroprofen, carprofen, oxaprozin, pranoprofen, suprofen, and pharmaceutically acceptable salts, derivatives, and combinations thereof. In a particular embodiment of the invention, the active ingredient may be selected from acetaminophen, acetyl salicylic acid, ibuprofen, naproxen, ketoprofen, flurbiprofen, diclofenac, cyclobenzaprine, meloxicam, rofecoxib, celecoxib, and pharmaceutically acceptable salts, esters, isomers, and mixtures thereof.

[0066] In another embodiment of the invention, the active ingredient may be selected from pseudoephedrine, phenylpropanolamine, chlorpheniramine, dextromethorphan,

diphenhydramine, astemizole, terfenadine, fexofenadine, loratadine, desloratadine, cetirizine, mixtures thereof and pharmaceutically acceptable salts, esters, isomers, and mixtures thereof.

[0067] Examples of suitable polydimethylsiloxanes, which include, but are not limited to dimethicone and simethicone, are those disclosed in United States Patent Nos. 4,906,478, 5,275,822, and 6,103,260. As used herein, the term "simethicone" refers to the broader class of polydimethylsiloxanes, including but not limited to simethicone and dimethicone.

[0068] The active ingredient or ingredients are present in the dosage form in a therapeutically effective amount, which is an amount that produces the desired therapeutic response upon oral administration and can be readily determined by one skilled in the art. In determining such amounts, the particular active ingredient being administered, the bioavailability characteristics of the active ingredient, the dose regime, the age and weight of the patient, and other factors must be considered, as known in the art. In a preferred embodiment the dosage form comprises one or more active ingredient or ingredients at a combined level of more than about 20 weight percent, e.g. at least about 25 weight percent, or at least about 30 weight percent, or at least about 50 weight percent of the dosage form.

[0069] The active ingredient or ingredients may be present in the dosage form in any form. For example, the active ingredient may be dispersed at the molecular level, e.g. melted or dissolved, within the dosage form, or may be in the form of particles, which in turn may be coated or uncoated. If the active ingredient is in form of particles, the particles (whether coated or uncoated) typically have an average particle size of about 1-2000 microns. In one preferred embodiment, such particles are crystals having an average particle size of about 1-300 microns. In another preferred embodiment, the particles are granules or pellets having

an average particle size of about 50-2000 microns, preferably about 50-1000 microns, most preferably about 100-800 microns.

[0070] The molded matrix of the present invention is made by molding, preferably using a solvent-free process. In a preferred embodiment, the matrix comprises a flowable material. The flowable material may be any edible material that is flowable at a temperature between about 37°C and about 250°C, and that is solid, semi-solid, or can form a gel at a temperature between about -10°C and about 80°C. In a preferred embodiment, the flowable material comprises 10-100% by weight of a thermal reversible carrier having a melting point of less than about 100°C, preferably from about 20 to about 100°C; and optionally up to about 30 weight percent of various adjuvants such as for example plasticizers, gelling agents, colorants, stabilizers, preservatives, and the like as known in the art. The matrix may optionally further comprise up to about 55 weight percent of one or more release-modifying excipients as described below.

[0071] In embodiments of this invention in which the matrix comprises 10-100% by weight of a thermal reversible carrier having a melting point of less than about 100°C, such low melting materials may include, for example thermoplastic polyalkalene oxides, low melting hydrophobic materials, thermoplastic polymers, thermoplastic starches, and the like. Preferred low-melting materials may be selected from thermoplastic polymers, thermoplastic polyalkalene oxides, low melting hydrophobic materials, and combinations thereof.

[0072] Suitable thermal-reversible carriers for making the molded matrix include are thermoplastic materials typically having a melting point below about 110°C, more preferably between about 20 and about 100°C. Examples of suitable thermal-reversible carriers for solvent-free molding include thermplastic polyalkalene glycols, thermoplastic polyalkalene

oxides, low melting hydrophobic materials, thermoplastic polymers, thermoplastic starches, and the like. Preferred thermal-reversible carriers include polyethylene glycol and polyethylene oxide. Suitable thermoplastic polyalkylene glycols for use as thermal-reversible carriers include polyethylene glycol having molecular weight from about 100 to about 20,000, e.g. from about 100 to about 8,000, say about 1000 to about 8,000 Daltons. Suitable thermoplastic polyalkylene oxides include polyethylene oxide having a molecular weight from about 100,000 to about 900,000 Daltons. Suitable low-melting hydrophobic materials for use as thermal-reversible carriers include fats, fatty acid esters, phospholipids, and waxes which are solid at room temperature, fat-containing mixtures such as chocolate; and the like. Examples of suitable fats include hydrogenated vegetable oils such as for example cocoa butter, hydrogenated palm kernel oil, hydrogenated cottonseed oil, hydrogenated sunflower oil, and hydrogenated soybean oil; and free fatty acids and their salts. Examples of suitable fatty acid esters include sucrose fatty acid esters, mono, di, and triglycerides, glyceryl behenate, glyceryl palmitostearate, glyceryl monostearate, glyceryl tristearate, glyceryl tri-laurylate, glyceryl myristate, GlycoWax-932, lauroyl macrogol-32 glycerides, and stearyl macrogol-32 glycerides. Examples of suitable phospholipids include phosphatidyl choline, phosphatidyl serine, phosphatidyl inositol, and phosphatidic acid. Examples of suitable waxes which are solid at room temperature include carnauba wax, spermaceti wax, beeswax, candelilla wax, shellac wax, microcrystalline wax, and paraffin wax.

**[0073]** In one preferred embodiment, the matrix comprises a low-melting thermal-reversible carrier selected from polycaprolactones, polyvinyl acetate, polyalkylene glycols and combinations thereof at a level of about 30 to about 70 weight percent, e.g. about 35 to about 50 weight percent of the matrix. The low-melting thermal-reversible polymer has a melting point of less than about 100°C. In one such embodiment, the matrix further

comprises a thermoplastic polyethylene oxide at a level of about 15 to about 25% as a strengthening polymer. Polyethylene oxides having suitable thermoplastic properties for use in the present invention have a molecular weight of about 100,000 to about 900,000. In another such embodiment, the matrix is substantially free of poly(ethylene oxide), e.g. contains less than 1%, or contains less than 0.1 weight percent of poly(ethylene oxide).

[0074] In other embodiments of this invention in which it is not required that the matrix comprise a thermal reversible carrier have a melting point of less than 100°C, the matrix composition may comprise any of the materials set forth above having a melting point of less than 100°C, and the matrix composition may also comprise other materials such as release modifying agents, various adjuvants such as for example plasticizers, gelling agents, colorants, stabilizers, preservatives, and the like as known in the art.

[0075] Suitable release-modifying moldable excipients for making the molded matrix, or a portion thereof, by molding include but are not limited to swellable erodible hydrophilic materials, pH-dependent polymers, insoluble edible materials, and pore-formers.

[0076] Suitable swellable erodible hydrophilic materials for use as release-modifying excipients for making the molded matrix, or a portion thereof, by molding include water soluble cellulose derivatives such as for example sodium carboxymethylcellulose, cross-linked hydroxypropylcellulose, hydroxypropyl cellulose, hydroxypropylmethylcellulose, hydroxyisopropylcellulose, hydroxybutylcellulose, hydroxyphenylcellulose, hydroxyethylcellulose, hydroxypentylcellulose, hydroxypropylethylcellulose, hydroxypropylbutylcellulose, hydroxypropylethylcellulose polyethylene glycols, poly(ethylene oxide), potassium methacrylate divinylbenzene copolymer, polymethylmethacrylate, CARBOPOL (high-molecular weight crosslinked acrylic acid

homopolymers and copolymers), and the like; hydrocolloids such as for example alginates, agar, guar gum, locust bean gum, kappa carrageenan, iota carrageenan, tara, gum arabic, tragacanth, pectin, xanthan gum, gellan gum, maltodextrin, galactomannan, pustulan, laminarin, scleroglucan, gum arabic, inulin, pectin, gelatin, whey, rhamnan, zooglan, methylcellulose, chitin, cyclodextrin, chitosan, clays, gelling starches such as acid hydrolyzed starches and derivatives and mixtures thereof; cross-linked polyvinyl pyrrolidone, cross-linked agar, sodium starch glycolate, and croscarmellose sodium.

[0077] Suitable pH-dependent polymers for use as release-modifying excipients for making the molded matrix or a portion thereof by molding include enteric cellulose derivatives such as for example hydroxypropyl methylcellulose phthalate, hydroxypropyl methylcellulose acetate succinate, cellulose acetate phthalate, shellac, enteric acetate derivatives such as for example polyvinylacetate phthalate, and enteric acrylate derivatives such as for example polymethacrylate-based polymers such as poly(methacrylic acid, methyl methacrylate) 1:2, which is commercially available from Rohm Pharma GmbH under the tradename EUDRAGIT S polymers, and poly(methacrylic acid, methyl methacrylate) 1:1, which is commercially available from Rohm Pharma GmbH under the tradename EUDRAGIT L polymers.

[0078] Suitable insoluble edible materials for use as release-modifying excipients for making the molded matrix, or a portion thereof, by molding include water-insoluble polymers such as for example acrylates, acrylic acid copolymers, cellulose acetate, cellulose acetate propionate, cellulose acetate propionate, cellulose propionate, cellulose acetate butyrate, cellulose acetate phthalate, acetaldehyde dimethylcellulose acetate, cellulose acetate ethyl carbamate, cellulose acetate methyl carbamate, cellulose acetate diethyl aminoacetate, ethylcellulose, methacrylates, polyvinyl alcohols, polyvinyl acetate, polycaprolactones, and

the like; fats such as for example cocoa butter, hydrogenated vegetable oils such as palm kernel, cottonseed oil, sunflower oil, and soybean oil, mono, di, and triglycerides, phospholipids, long-chain fatty acids, fatty acid esters; and waxes such as for example carnauba wax, spermaceti wax, beeswax, candelilla wax, shellac wax, microcrystalline wax, and paraffin wax.

[0079] Suitable pore-formers for use as release-modifying excipients for making the molded matrix or a portion thereof by molding include water-soluble organic and inorganic materials. Examples of suitable water-soluble organic materials include water soluble polymers including water soluble cellulose derivatives such as hydroxypropylmethylcellulose, hydroxypropylcellulose,; water soluble carbohydrates such as sugars, starches; water soluble polymers such as polyvinylpyrrolidone, polyethylene glycol; microcrystalline cellulose; salts such as sodium chloride and potassium chloride and the like and/or mixtures thereof.

[0080] Suitable plasticizers for making the molded matrix, or a portion thereof, by molding, include triacetin, acetylated monoglyceride, rape oil, olive oil, sesame oil, acetyltributyl citrate, glycerin sorbitol, diethyloxalate, diethylmalate, diethyl fumarate, dibutyl succinate, diethylmalonate, dioctylphthalate, dibutylsuccinate, triethylcitrate, tributylcitrate, glyceroltributyrate, propylene glycol, polyethylene glycols, hydrogenated castor oil, fatty acids, substituted triglycerides and glycerides, and the like.

[0081] The matrix may be in a variety of different shapes. For example, the matrix may be shaped as a polyhedron, such as a cube, pyramid, prism, or the like; or may have the geometry of a space figure with some non-flat faces, such as a cone, truncated cone, cylinder, sphere, torus, or the like. In certain embodiments, the matrix has one or more major faces.



For example in certain embodiments matrix surface may have two opposing major faces formed by contact with upper and lower mold surfaces. In such embodiments the matrix surface may further comprise a “belly-band” located between the two major faces, and formed by contact with the side walls in the mold.

[0082] In one embodiment of the invention, the matrix is made by the thermal setting molding method and apparatus described in copending U.S. patent application Serial No. 09/966,450, pages 57-63, the disclosure of which is incorporated herein by reference. In this embodiment, the matrix is formed by injecting a starting material in flowable form into a molding chamber. The starting material preferably comprises an active ingredient and a thermal setting material at a temperature above the melting point of the thermal setting material but below the decomposition temperature of the active ingredient. The starting material is cooled and solidifies in the molding chamber into a shaped form (i.e., having the shape of the mold).

[0083] According to this method, the starting material must be in flowable form. For example, it may comprise solid particles suspended in a molten matrix, for example a polymer matrix. The starting material may be completely molten or in the form of a paste. The starting material may comprise an active ingredient dissolved in a molten material. Alternatively, the starting material may be made by dissolving a solid in a solvent, which solvent is then evaporated from the starting material after it has been molded.

[0084] The starting material may comprise any edible material which is desirable to incorporate into a shaped form, including active ingredients, nutritionals, vitamins, minerals, flavors, sweeteners, and the like. Preferably, the starting material comprises an active ingredient and a thermal setting material. The thermal setting material may be any edible

material that is flowable at a temperature between about 37 and about 120°C, and that is a solid at a temperature between about 0 and about 35°C. Preferred thermal setting materials include water-soluble polymers such as polyalkylene glycols, polyethylene oxides and derivatives, and sucrose esters; fats such as cocoa butter, hydrogenated vegetable oil such as palm kernel oil, cottonseed oil, sunflower oil, and soybean oil; mono-, di-, and triglycerides, phospholipids, waxes such as carnuba wax, spermaceti wax, beeswax, candelilla wax, shellac wax, microcrystalline wax, and paraffin wax; fat-containing mixtures such as chocolate; sugar in the form on an amorphous glass such as that used to make hard candy forms, sugar in a supersaturated solution such as that used to make fondant forms; low-moisture polymer solutions such as mixtures of gelatin and other hydrocolloids at water contents up to about 30% such as those used to make "gummi" confection forms. In a particularly preferred embodiment, the thermal setting material is a water-soluble polymer such as polyethylene glycol.

[0085] In another embodiment of the invention, the matrix is made using the thermal cycle molding method and apparatus described in copending U.S. patent application Serial No. 09/966,497, pages 27-51, the disclosure of which is also incorporated herein by reference. In the thermal cycle molding method and apparatus of U.S. patent application Serial No. 09/966,497, a thermal cycle molding module having the general configuration shown in Figure 3 therein is employed. The thermal cycle molding module 200 comprises a rotor 202 around which a plurality of mold units 204 are disposed. The thermal cycle molding module includes a reservoir 206 (see Figure 4) for holding flowable material to make the matrix. In addition, the thermal cycle molding module is provided with a temperature control system for rapidly heating and cooling the mold units. Figures 55 and 56 depict such a temperature control system 600.

[0086] If particles are contained in the matrix, the particles (whether coated or uncoated) Typically have an average particle size of about 1-2000 microns. In one preferred embodiment, the particles are crystals of the active ingredient or ingredients, and the average particle size is about 1-300 microns. In another preferred embodiment, the particles are granules or pellets, and the average particle size is about 50-2000 microns, preferably about 50-1000 microns, most preferably about 100-800 microns.

[0087] In particular embodiments of this invention in which uncoated particles are employed, the particles may comprise active ingredient as described herein, or may be inactive particles included for example to provide a visual distinction to the appearance of the dosage form.

[0088] In one particular embodiment, the matrix material may be transparent, semi-transparent, or translucent. In one such embodiment, the particles, either coated or uncoated, and either active or inactive, may be visible from the outside of the dosage form.

[0089] In particular embodiments of this invention in which coated particles are employed, the particles may be as described herein, and the particle coating may comprise about 10 – 100 weight percent (based on the weight of the coating) of a film former; optionally up to about 50 weight percent based on the weight of the coating of a pore former; and optionally up to about 30 weight percent of various adjuvants or excipients such as plasticizers etc. . The particles may be coated using conventional coating technology which is well known to those skilled in the art including microencapsulation techniques such as coacervation, spray-drying, and fluidized bed coating including tangential spray rotor coating and bottom spray wurster coating. Examples of suitable particle coating methods and materials can be found in United States Patent Nos. 5,286,497; 4,863,742; 4,173,626;

4,980,170; 4,984,240; 5,912,013; 6,270,805; and 6,322,819. Such coated particles may provide controlled release of the active ingredient contained therein in certain embodiments.

[0090] Suitable film formers for particle coating include, but are not limited to, film-forming water soluble polymers, film-forming proteins, film-forming water insoluble polymers, and film-forming pH-dependent polymers. In one embodiment, the film-former for particle coating may be selected from cellulose acetate, ammonio methacrylate copolymer type B, shellac, hydroxypropylmethylcellulose, and polyethylene oxide, and combinations thereof.

[0091] Suitable film-forming water soluble polymers for particle coating include water soluble vinyl polymers such as polyvinylalcohol; water soluble polycarbohydrates such as hydroxypropyl starch, hydroxyethyl starch, pullulan, methylethyl starch, carboxymethyl starch, pre-gelatinized starches, and film-forming modified starches; water swellable cellulose derivatives such as hydroxypropyl cellulose (HPC), hydroxypropylmethyl cellulose (HPMC), methyl cellulose (MC), hydroxyethylmethylcellulose (HEMC), hydroxybutylmethylcellulose (HBMC), hydroxyethylethylcellulose (HEEC), and hydroxyethylhydroxypropylmethyl cellulose (HEMPMC); water soluble copolymers such as methacrylic acid and methacrylate ester copolymers, polyvinyl alcohol and polyethylene glycol copolymers, polyethylene oxide and polyvinylpyrrolidone copolymers; and derivatives and combinations thereof.

[0092] Suitable film-forming proteins may be natural or chemically modified, and include gelatin, whey protein, myofibrillar proteins, coagulatable proteins such as albumin, casein, caseinates and casein isolates, soy protein and soy protein isolates, zein; and polymers, derivatives and mixtures thereof.

[0093] In embodiments in which the particle coating confers modified release to one or more active ingredients contained in the particle, suitable film formers may be selected from film forming water insoluble polymers; film forming pH-dependent polymers; and copolymers and combinations thereof. In certain such embodiments in which the particle coating functions as a diffusional membrane, the release-modifying particle coating preferably comprises a pore former.

[0094] Suitable film forming water insoluble polymers for use in release-modifying particle coatings include for example ethylcellulose, polyvinyl alcohols, polyvinyl acetate, polycaprolactones, cellulose acetate and its derivatives, acrylates, methacrylates, acrylic acid copolymers; and the like and derivatives, copolymers, and combinations thereof.

[0095] Suitable film forming pH-dependent polymers for use in release-modifying particle coatings include for example enteric cellulose derivatives, such as for example hydroxypropyl methylcellulose phthalate, hydroxypropyl methylcellulose acetate succinate, cellulose acetate phthalate; natural resins, such as shellac and zein; enteric acetate derivatives such as for example polyvinylacetate phthalate, cellulose acetate phthalate, acetaldehyde dimethylcellulose acetate; and enteric acrylate derivatives such as for example polymethacrylate-based polymers such as poly(methacrylic acid, methyl methacrylate) 1:2, which is commercially available from Rohm Pharma GmbH under the tradename EUDRAGIT S, and poly(methacrylic acid, methyl methacrylate) 1:1, which is commercially available from Rohm Pharma GmbH under the tradename EUDRAGIT L; and the like, and derivatives, salts, copolymers, and combinations thereof.

[0096] Suitable pore formers for use in release-modifying particle coatings include water-soluble organic and inorganic materials. In one embodiment the pore former is selected from

hydroxypropylcellulose and hydroxypropylmethylcellulose. Examples of suitable water-soluble organic materials include water soluble cellulose derivatives such as hydroxypropylmethylcellulose, and hydroxypropylcellulose; water soluble carbohydrates such as sugars, and starches; water soluble polymers such as polyvinylpyrrolidone and polyethylene glycol, and insoluble swelling polymers such as microcrystalline cellulose. Examples of suitable water soluble inorganic materials include salts such as sodium chloride and potassium chloride and the like and/or mixtures thereof.

[0097] Examples of suitable adjuvants or excipients for particle coatings include plasticizers, detackifiers, humectants, surfactants, anti-foaming agents, colorants, opacifiers, and the like. Suitable plasticizers include, but not be limited to polyethylene glycol; propylene glycol; glycerin; sorbitol; triethyl citrate; tributyl citrate; dibutyl sebecate; vegetable oils such as castor oil, rape oil, olive oil, and sesame oil; surfactants such as polysorbates, sodium lauryl sulfates, and dioctyl-sodium sulfosuccinates; mono acetate of glycerol; diacetate of glycerol; triacetate of glycerol; natural gums; triacetin; acetyltributyl citrate; diethyloxalate; diethylmalate; diethyl fumarate; diethylmalonate; dioctylphthalate; dibutylsuccinate; glyceroltributyrate; hydrogenated castor oil; fatty acids; substituted triglycerides and glycerides; and the like and/or mixtures thereof. In one embodiment, the plasticizer is triethyl citrate. In certain embodiments, the shell is substantially free of plasticizers, i.e. contains less than about 1%, say less than about 0.01% of plasticizers.

[0098] In certain particularly preferred embodiments of this invention, the dosage form releases one or more active ingredients contained therein in a sustained, extended, prolonged, or retarded manner, more preferably at a substantially constant rate upon contacting of the dosage form with a liquid medium. In such embodiments, the molded matrix may function as a diffusional matrix or an eroding matrix. In embodiments in which the molded matrix

functions as an eroding matrix from which dispersed active ingredient is liberated in a sustained, extended, prolonged, or retarded manner, the molded matrix preferably comprises a release-modifying moldable excipient selected from swellable erodible hydrophilic materials, pH-dependent polymers, insoluble edible materials, and combinations thereof. In embodiments in which the molded matrix functions as a diffusional matrix through which active ingredient contained therein is liberated in a sustained, extended, prolonged, or retarded manner, the molded matrix preferably comprises a release-modifying excipient selected from combinations of insoluble edible materials and pore formers. Alternately, in such embodiments in which the matrix is prepared by solvent-free molding, the thermal-reversible carrier may function by dissolving and forming pores or channels through which the active ingredient may be liberated.

[0099] In certain other preferred embodiments of this invention, the dosage form releases at least first and second active ingredients contained therein in a sustained, extended, prolonged, or retarded manner. In certain such embodiments, the first and second active ingredients have different unmodified release characteristics; however the dosage form advantageously provides different types of modification to the first and second active ingredients, such that the dissolution profiles of the first and second active ingredients from the dosage form are similar. In certain other such embodiments, the dosage form advantageously provides different types of modification to the first and second active ingredients, such that the dissolution profiles of the first and second active ingredients from the dosage form are substantially different, e.g. the first and second active ingredients are released from the dosage form at different rates or times upon contacting of the dosage form with a liquid medium. In a particularly preferred embodiment, the first and second active

ingredient are both released from the dosage form at a substantially constant rate upon contacting of the dosage form with a liquid medium.

[00100] In certain other embodiments of this invention, upon contacting of the dosage form with a liquid medium, a time delay occurs prior to release of at least a portion of one or more active ingredients occurs followed by sustained release of the delayed release active ingredient or ingredients. In such embodiments, the time delay is provided by the dissolution of all or a portion of the molded matrix, and the subsequent sustained release is provided by one or more coatings on the particles of active ingredient. In such embodiments, the molded matrix preferably comprises a release modifying excipient selected from pH-dependent polymers. In such embodiments, the particle coating preferably comprises a release modifying excipient which may be selected from combinations of pore formers and insoluble edible materials; swellable erodible hydrophilic materials; pH-dependent polymers; and combinations thereof.

[00101] In another particular embodiment of this invention, the dosage form comprises first and second active ingredients which may be the same or different, and upon contacting of the dosage form with a liquid medium, sustained release of the first active ingredient occurs, followed by sustained release of the second active ingredient. In such embodiments, the sustained release of first active ingredient is provided by the controlled dissolution of all or a portion of the molded matrix, and the subsequent sustained release of the second active ingredient is provided by one or more coatings on the particles of active ingredient. In such embodiments, the molded matrix preferably comprises a release modifying excipient selected from swellable erodible hydrophilic materials, pH-dependent polymers, insoluble edible materials, and combinations thereof. In such embodiments, the particle coating preferably comprises a release modifying excipient which may be selected from combinations of pore



formers and insoluble edible materials; swellable erodible hydrophilic materials; pH-dependent polymers, and combinations thereof.

**[00102]** In another particularly preferred embodiment of this invention, the matrix comprises a first dose of active ingredient and the particles contained therein comprise a second dose of active ingredient which may be the same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first dose of active ingredient occurs, followed by a lag time, which is in turn followed by delayed release of the second dose active ingredient. In such embodiments, the matrix preferably comprises materials which exhibit rapid dissolution in gastro-intestinal fluids. For example the immediate release shell portion or portions may comprise readily soluble materials selected from water soluble or water swellable thermoplastic film formers, water soluble or water swellable thickeners, crystallizable and non-crystallizable carbohydrates. In certain such embodiments, suitable water soluble or water swellable thermoplastic film formers may be selected from water swellable cellulose derivatives, thermoplastic starches, polyalkylene glycols, polyalkylene oxides, and amorphous sugar glass, and combinations thereof. In certain other such embodiments, suitable film formers may be selected from film forming water soluble polymers such as for example water soluble vinyl polymers, water soluble polycarbohydrates, water swellable cellulose derivatives, and water soluble copolymers; film-forming proteins, and combinations thereof. In certain other such embodiments, suitable thickeners may be selected from gelling polymers or hydrocolloids; gelling starches, and crystallizable carbohydrates, and combinations thereof. In certain other such embodiments, suitable non-crystallizable carbohydrates may be selected from polydextrose, starch hydrolysates, and non-crystallizable sugar alcohols, and combinations thereof. In such embodiments, the immediate release matrix will preferably liberate the

coated particles of delayed release active ingredient by being breached or dissolved within 30 minutes in 900 ml water or 0.1 N HCl, or phosphate buffer solution at 37°C with stirring by a USP type 2 (Paddle method) at 50 or 100 rpm. In these embodiments, the time delay is provided by a coating on the particles containing the second dose of active ingredient. Preferably the delayed release particle coating comprises a release-modifying excipient selected from swellable erodible hydrophilic materials, and pH-dependent polymers, and combinations thereof.

[00103] In another particularly preferred embodiment of this invention, the matrix comprises a first dose of active ingredient and the particles contained therein comprise a second dose of active ingredient which may be the same or different than the first dose of active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first dose of active ingredient occurs followed by sustained release of the second dose of active ingredient. In such embodiments, the matrix preferably comprises materials which exhibit rapid dissolution in gastro-intestinal fluids. For example the immediate release shell portion or portions may comprise readily soluble materials selected from water soluble or water swellable thermoplastic film formers, water soluble or water swellable thickeners, crystallizable and non-crystallizable carbohydrates. In certain such embodiments, suitable water soluble or water swellable thermoplastic film formers may be selected from water swellable cellulose derivatives, thermoplastic starches, polyalkylene glycols, polyalkylene oxides, and amorphous sugar glass, and combinations thereof. In certain other such embodiments, suitable film formers may be selected from film forming water soluble polymers such as for example water soluble vinyl polymers, water soluble polycarbohydrates, water swellable cellulose derivatives, and water soluble copolymers; film-forming proteins, and combinations thereof. In certain other such embodiments, suitable

thickeners may be selected from gelling polymers or hydrocolloids; gelling starches, and crystallizable carbohydrates. In certain other such embodiments, suitable non-crystallizable carbohydrates may be selected from polydextrose, starch hydrolysates, and non-crystallizable sugar alcohols. In such embodiments, the immediate release matrix will preferably liberate the coated particles of delayed release active ingredient by being breached or dissolved within 30 minutes in 900 ml water or 0.1 N HCl, or phosphate buffer solution at 37°C with stirring by a USP type 2 (Paddle method) at 50 or 100 rpm. In these embodiments, the sustained release is provided by a coating on the particles containing the second dose of active ingredient. Preferably the sustained release particle coating comprises a release-modifying excipient which may be selected from combinations of pore formers and insoluble edible materials; swellable erodible hydrophilic materials; pH-dependent polymers.

[00104] Preferably the molded matrix of the present invention is made by injecting the flowable material through an orifice into a mold cavity, then solidifying the flowable material, according to the method set forth herein. In one such embodiment wherein the dosage form comprises particles, the orifice has a diameter greater than the diameter of the particles, e.g. from about 1000 to about 4000 microns, say about 2000 to about 3000 microns. In certain such embodiments the particles are introduced into the mold cavity in the form of a flowable slurry or suspension in the matrix material. The flowable slurry or suspension may be introduced under pressure through the orifice. In one embodiment, the mold assembly may be free of a valve at the injection point. In another embodiment, the mold assembly may comprise an elastomeric plug type valve which does not crush the particles upon closing.

[00105] Advantageously this method provides a versatile and cost-effective process for preparing the modified release molded matrix systems of the present invention.

Advantageously, the method of the present invention may be carried out at relatively low

processing temperatures, enabling the incorporation of low melting active ingredients, heat labile active ingredients, and coated particles into molded matrix dosage forms.

Advantageously the combination of methods and materials of the present invention enable the incorporation of relatively high levels of active ingredient into the molded matrix dosage form, and enable the production of unique elegant dosage forms with transparent, semi-transparent, or translucent matrices.

[00106] This invention will be illustrated by the following examples, which are not meant to limit the invention in any way.

#### Example 1

[00107] Dosage forms according to the invention comprising a molded matrix were prepared using the ingredients in Table A as follows:

TABLE A

Tablet	Trade Name	Manufacturer	Weight %	Mg/Tablet
Verapamil HCL E. R. Pellets	Verelan PM 300mg capsules	Schwarz Pharma, Inc., Gainesville, GA	17.0	77
Polyethylene Glycol 3350	Carbowax®	Union Carbide Corporation, Danbury, CT	42.0	190
Shellac Powder	Regular bleached shellac	Mantrose-Hauser Company, Attleboro, MA	10.0	45
Croscarmellose Sodium	Ac-Di-Sol®	FMC Corporation, Newark, DE	21.0	95
Pseudoephedrine Hydrochloride Crystal		BASF PharmaChemikalien GmbH & Co., Ludwigshafen/Rhein.	10.0	45

[00108] A beaker was submersed in a water bath (Ret digi-visc; Antal-Direct, Wayne, PA) where the water temperature was set at 70°C. Polyethylene glycol (PEG) 3350 was added to

the beaker and was mixed with a spatula until all PEG was melted. Shellac powder, which was screened through a #40 mesh screen, was added to the molten PEG and was mixed until all powder was dispersed. Croscarmellose sodium was added and the ingredients were mixed for 2 more minutes. Pseudoephedrine hydrochloride crystal was then added, followed by mixing for 2 more minutes. Verapamil HCl E. R. pellets, obtained by removing pellets from VERELAN PM 300mg capsules, were added and the resulting mixture was mixed for 5 more minutes. 410 to 500 mg of the molten mixture was added to a round, concave lower punch and die unit (0.5000 inch diameter), which was manually joined with the upper punch to form a molded tablet dosage form. The molded tablet dosage form was ejected from the die.

[00109] Fig. 2 depicts the % release of active ingredient vs. hours for the dosage form of Example 1 and other dosage forms. More particularly this figure shows the dissolution rate of two different types of actives of the present invention as compared to two commercial products. Curve (a) shows the release rate of Verapamil HCL from the sustained release verapamil HCL pellets contained in this invention. The curve (b) was derived from the commercial sustained release dosage forms of Verelan PM 300 mg capsules (contains Verapamil HCL). Curve (c) shows the release rate of pseudoephedrine HCL from the matrix of this invention. The curve (d) was derived from the commercially immediate release dosage forms of Sudafed<sup>®</sup> tablet (containing pseudoephedrine HCL). All curves were derived using the following dissolution analysis: USP Type II apparatus (paddles, 50 RPM) in pH 7.2 phosphate buffer at 37°C. Samples were tested at 0.5, 1, 2,4, 8,12,16,20, and 24 hours for pseudoephedrine HCl, and Verapamil HCL. Dissolution samples were analyzed for pseudoephedrine HCl and Verapamil HCL versus a standard prepared at the theoretical concentration for 100% released of each compound. Samples were analyzed using a HPLC equipped with a Waters<sup>®</sup> 717 Autoinjector and a Waters<sup>®</sup> 486 UV detector set at a

wavelength of 214 nm. The mobile phase was prepared using 55% acetonitrile and 45% 18mM Potassium phosphate buffer. The injection volume was 50  $\mu$ L with a run time of approximately 8 minutes and a pump flow of 2.0 mL/min. The column used was a Zorbax<sup>®</sup> 300-SCX (4.6mm X 25 cm).

### Example 2

[00110] Dosage forms according to the invention, comprising a molded matrix containing the ingredients listed in Table A above are made in a continuous process using a thermal cycle molding module as described on pages 27-51 of copending U.S. Application Serial No. 09/966,497. The ingredients are prepared as described in Example 1 and provided to the thermal cycle molding matrix in flowable form.

[00111] The thermal cycle molding module has the general configuration shown in Figure 3 and pages 27-51 of copending U.S. Application Serial No. 09/966,497, which depicts a thermal cycle molding module 200 comprising a rotor 202 around which a plurality of mold units 204 are disposed. The thermal cycle molding module includes reservoir 206 (see Figure 4) for holding the flowable material. In addition, the thermal cycle molding module is provided with a temperature control system for rapidly heating and cooling the mold units. Figures 55 and 56 of pending U.S. Application Serial No. 09/966,497 depict the temperature control system 600.

[00112] The thermal cycle molding module has the specific configuration shown in Figure 26A of copending U.S. Application Serial No. 09/966,497. The thermal cycle molding module comprises center mold assemblies 212 and upper mold assemblies 214 as shown in Figure 26C, which mate to form mold cavities. As rotor 202 rotates, the opposing center and upper mold assemblies close. The flowable material for the matrix, which is heated to a

flowable state in reservoir 206, is injected into the resulting mold cavities. The temperature of the flowable material is then decreased, hardening the flowable material into a matrix. The mold assemblies open and eject the matrices.

[00113] Although this invention has been illustrated by reference to specific embodiments, it will be apparent to those skilled in the art that various changes and modifications may be made which clearly fall within the scope of this invention.

The invention claimed is:

1. A dosage form comprising a molded matrix and at least one active ingredient, wherein the matrix comprises 10-100% of a thermal reversible carrier having a melting point of less than about 100 degrees C selected from the group consisting of thermoplastic polyalkylene oxides, low melting hydrophobic materials, thermoplastic polymers, thermoplastic starches and combinations thereof, and the matrix is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.
2. A dosage form comprising a molded matrix and at least one active ingredient at a level of more than about 20 weight percent, wherein the matrix comprises 10-100% of a material having a melting point of less than about 100 degrees C, and the matrix is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.
3. The dosage form of Claim 1 or 2, in which the matrix comprises means for providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.
4. The dosage form of Claim 1, in which the dosage form comprises a plurality of particles, and at least a portion of the particles comprise at least one active ingredient.
5. The dosage form of Claim 4, in which at least a portion of the particles are coated with a coating capable of providing modified release of the active ingredient contained therein upon contacting of the dosage form with a liquid medium.



6. The dosage form of Claim 4, in which at least a portion of the particles are coated with a coating comprising means for providing modified release of the active ingredient contained therein upon contacting of the dosage form with a liquid medium.

7. The dosage form of Claim 4, in which at least a portion of the particles are coated with a coating comprising 10-100 wt. % of a release-modifying polymer selected from the group consisting of pH-dependent polymers, water-soluble polymers, water-insoluble polymers, and copolymers, derivatives and mixtures thereof.

8. The dosage form of Claim 1, in which the matrix comprises at least one active ingredient.

9. The dosage form of Claim 1, in which upon contacting of the dosage form with a liquid medium, at least a portion of the active ingredient is released in a sustained manner.

10. The dosage form of Claim 9, in which the dosage form releases active ingredient at a substantially constant rate.

11. The dosage form of Claim 1, in which upon contacting of the dosage form with a liquid medium, a time delay occurs prior to release of at least a portion of the active ingredient.

12. The dosage form of Claim 11, in which the portion of the active ingredient released after the time delay is released in a sustained manner.

13. The dosage form of Claim 11, in which the dosage form additionally comprises a plurality of particles, the matrix comprises a first dose of active ingredient and at least a portion of the particles comprise a second dose of active ingredient which may be the

same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first dose of active ingredient occurs followed by a lag time, followed by delayed release of the second dose of active ingredient.

14. The dosage form of Claim 1, in which the dosage form additionally comprises a plurality of particles, the matrix comprises a first dose of active ingredient and at least a portion of the particles comprise a second dose of active ingredient which is the same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first dose of active ingredient occurs followed by sustained release of the second dose of active ingredient.

15. A dosage form comprising at least one active ingredient, a molded matrix and a plurality of particles dispersed in the matrix, wherein at least a portion of the particles are coated, and the dosage form is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.

16. The dosage form of Claim 15, in which the dosage form comprises means for providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.

17. The dosage form of Claim 15, in which the matrix is capable of providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.

18. The dosage form of Claim 15, in which the matrix comprises means for providing modified release of the active ingredient upon contacting of the dosage form with a liquid medium.

19. The dosage form of Claim 15, in which the dosage form further comprises at least one uncoated active ingredient dispersed in the matrix.

20. The dosage form of Claim 15, in which at least a portion of the coated particles comprise at least one active ingredient and are coated with a coating capable of providing modified release of the active ingredient contained therein upon contacting of the coated particle with a liquid medium.

21. The dosage form of Claim 15, in which at least a portion of the coated particles comprise at least one active ingredient and are coated with a coating comprising means for providing modified release of the active ingredient contained therein upon contacting of the coated particles with a liquid medium.

22. The dosage form of Claim 15, in which at least a portion of the particles are coated with a coating comprising 10-100 wt. % of a release-modifying polymer selected from the group consisting of pH-dependent polymers, water-soluble polymers, water-insoluble polymers, and copolymers, derivatives and mixtures thereof.

23. The dosage form of Claim 15, in which upon contacting of the dosage form with a liquid medium, at least a portion of the active ingredient is released in a sustained manner.

24. The dosage form of Claim 23, in which the dosage form releases at least a portion of the active ingredient at a substantially constant rate.

25. The dosage form of Claim 15, in which upon contacting of the dosage form with a liquid medium, a time delay occurs prior to release of at least a portion of the active ingredient.

26. The dosage form of Claim 25, in which the portion of the active ingredient released after the time delay is released in a sustained manner.

27. The dosage form of Claim 15, in which the dosage form comprises first and second doses of active ingredients which may be the same or different, and upon contacting of the dosage form with a liquid medium, the first dose of active ingredient is released in a sustained manner, and a time delay precedes release of the second active ingredient.

28. The dosage form of Claim 15, in which the matrix contains a first active ingredient dispersed therein and at least a portion of the particles comprise a second active ingredient which may be the same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first active ingredient occurs followed by a time delay, followed by release of the second active ingredient.

29. The dosage form of Claim 15, in which the matrix contains a first active ingredient dispersed therein and at least a portion of the particles comprise a second active ingredient which is the same or different than the first active ingredient, and upon contacting of the dosage form with a liquid medium, immediate release of the first active ingredient occurs followed by sustained release of the second active ingredient.

30. The dosage form of Claim 1, in which the thermal reversible carrier is selected from the group consisting of polycaprolactones, polyvinyl acetate, polyalkylene glycols and combinations thereof.

31. The dosage form of Claim 1, in which the thermal reversible carrier is selected from the group consisting of polyethylene glycol having molecular weight from about 100 to

about 20,000 Daltons, polyethylene oxide having a molecular weight from about 100,000 to about 900,000 Daltons, and combinations thereof.

32. The dosage form of Claim 1, in which the thermal reversible carrier is from about 30 to about 70 weight percent of the matrix.

33. The dosage form of Claim 1, in which the molded matrix further comprises a release-modifying moldible excipient selected from the group consisting of swellable erodible hydrophilic materials, pH-dependent polymers, insoluble edible materials, and pore-formers and combinations thereof.

34. The dosage form of Claim 33, wherein the level of release-modifying excipient is from about 1 percent to about 55 percent by weight of the molded matrix.

35. The dosage form of Claim 33, in which the release-modifying excipient is shellac.

36. The dosage form of Claim 33, in which the release-modifying excipient is croscarmellose sodium.

37. The dosage form of Claim 1, further comprising tributyl citrate as a plasticizer.

Fig. 1A

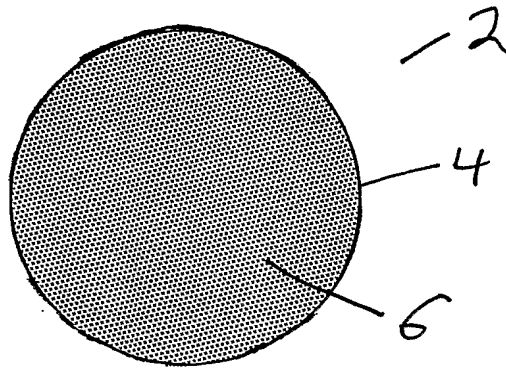


Fig. 1B

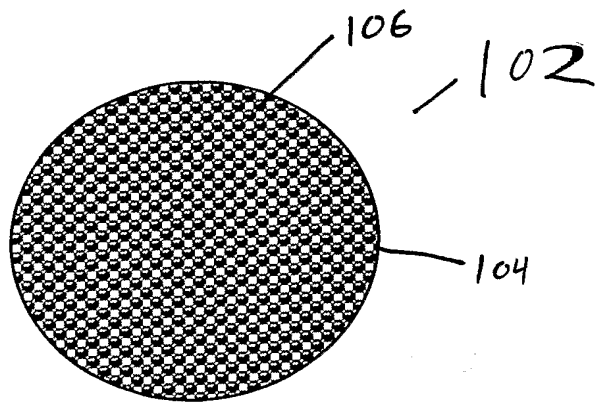
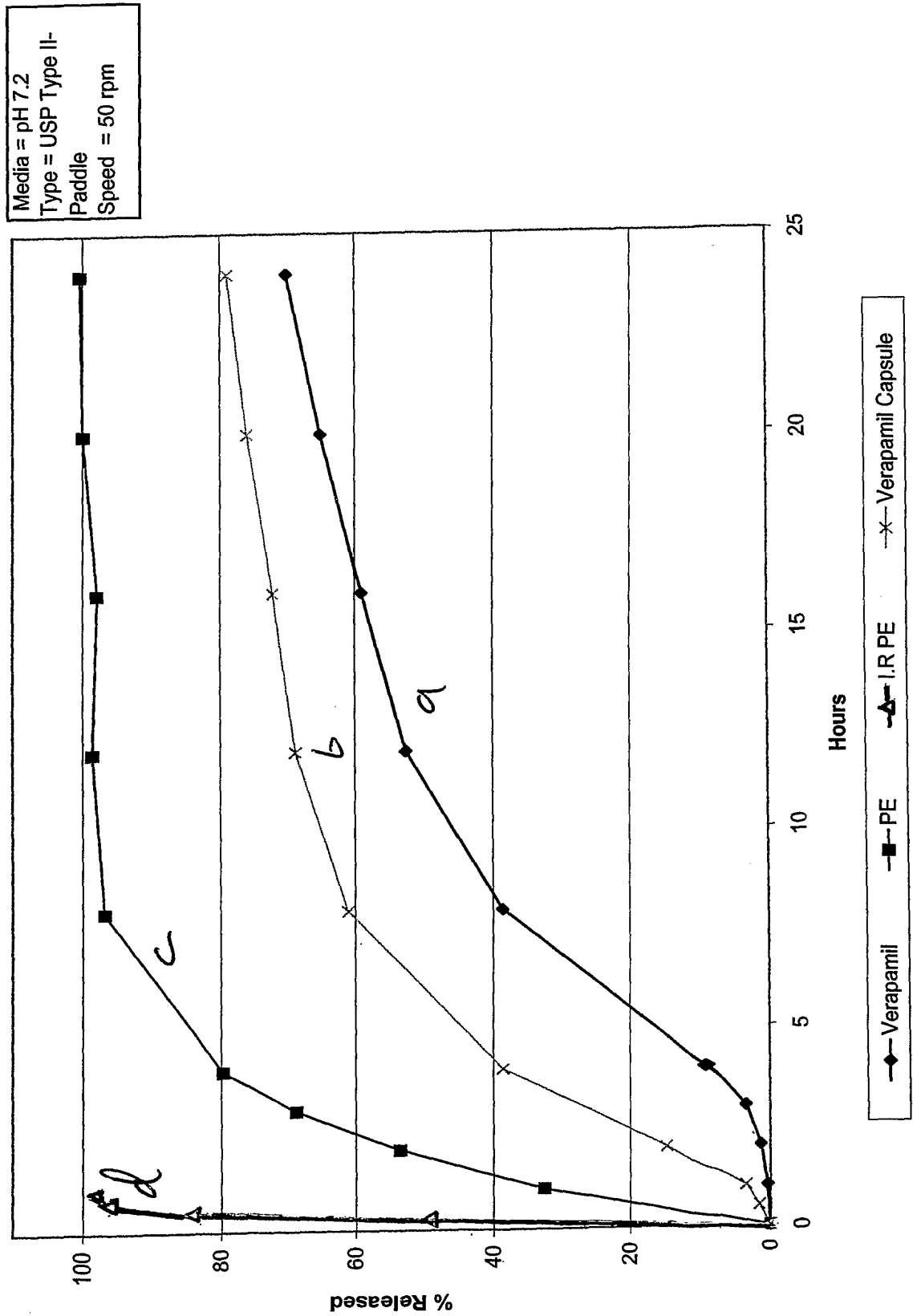


Figure 2



## INTERNATIONAL SEARCH REPORT

International, \_\_\_\_\_ ition No

PCT/US 02/31022

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61K9/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 239 983 A (BOEHRINGER INGELHEIM KG ;BOEHRINGER INGELHEIM INT (DE)) 7 October 1987 (1987-10-07) claims; figures; examples ----	1,2,15
X	GB 2 284 760 A (EURO CELTIQUE SA) 21 June 1995 (1995-06-21) page 10, paragraph 6; examples ----	1,2
X	FR 2 011 960 A (ERIKSSON KARL;MANGEN ARNOLD) 13 March 1970 (1970-03-13) examples ----	1,2,15
X	EP 0 531 524 A (SS PHARMACEUTICAL CO) 17 March 1993 (1993-03-17) page 3, line 51 -page 4, line 19; claims; examples ----- -/--	1,15



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

° Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

13 February 2003

Date of mailing of the international search report

26/02/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Friederich, M



## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 94/31022

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GB 1 464 388 A (SCHERING AG) 9 February 1977 (1977-02-09) example 15 ----	1, 15
X	US 6 200 590 B1 (ELEY JOHN GRAHAM) 13 March 2001 (2001-03-13) figures 1,2 ----	1, 15
X	DE 27 10 307 A (ACO LAEKEMEDEL AB) 15 September 1977 (1977-09-15) page 3, paragraph 3; claims ----	1
X	DE 199 25 710 A (BYK GULDEN LOMBERG CHEM FAB) 14 December 2000 (2000-12-14) page 7, line 16-32; examples ----	1, 15
X	US 5 188 840 A (IIDA YOSHIMITSU ET AL) 23 February 1993 (1993-02-23) example 12 ----	1
X	US 5 807 579 A (WILLOUGHBY DAVID JOHN ET AL) 15 September 1998 (1998-09-15) column 3, line 26-47; examples ----	15
X	US 2 996 431 A (HENRY BARRY RICHARD) 15 August 1961 (1961-08-15) claims; figure 1 -----	15

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

Continuation of Box I.2

Claims Nos.: 3-14 , 16-37 (not searched) 1, 2, 15 (partially)

Claims 3-14 and 16-37 have been not searched and claims 1, 2 and 15 have been searched partially for the following reasons:

Present independent claims 1, 2 and 15 relate to an extremely large number of possible products ("dosage form", "active ingredient"). Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the products claimed ("pharmaceutically active ingredient").

In view of the large number and also the wording of the dependent claims presently on file, which render it difficult, if not impossible, to determine the matter for which protection is sought, the present application fails to comply with the clarity and conciseness requirements of Article 6 PCT (see also Rule 6.1(a) PCT) to such an extent that a meaningful search is impossible.

Consequently, the search has been carried out for those parts of the independent claims 1, 2 and 15 which appear to be clear, supported and disclosed, namely those parts relating to the products prepared in the examples.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Internal ation No.  
PCT/US 02/31022

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 3-14 , 16-37 (not searched) 1, 2, 15 (partially)  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No

PCT/US 02/31022

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0239983	A	07-10-1987	DE 3610878 A1	08-10-1987
			AT 69547 T	15-12-1991
			AU 600712 B2	23-08-1990
			AU 7095687 A	08-10-1987
			CA 1314480 A1	16-03-1993
			DE 3774601 D1	02-01-1992
			DK 162887 A	02-10-1987
			EP 0239983 A2	07-10-1987
			ES 2027246 T3	01-06-1992
			FI 871378 A ,B,	02-10-1987
			GR 3003661 T3	16-03-1993
			JP 63008327 A	14-01-1988
			KR 9502881 B1	28-03-1995
			MX 5814 A	01-10-1993
			NO 871350 A ,B,	02-10-1987
			NZ 219823 A	28-05-1990
			PT 84604 A ,B	01-05-1987
			ZA 8702326 A	28-12-1988
GB 2284760	A	21-06-1995	AT 3413 U2	27-03-2000
			AT 138566 T	15-06-1996
			AT 172376 T	15-11-1998
			AT 212224 T	15-02-2002
			AT 201989 T	15-06-2001
			AU 3995797 A	18-12-1997
			AU 722358 B2	27-07-2000
			AU 5299598 A	26-03-1998
			AU 6196394 A	17-11-1994
			AU 6610594 A	12-01-1995
			AU 682223 B2	25-09-1997
			AU 7901594 A	01-06-1995
			BG 62316 B1	31-08-1999
			BG 99077 A	30-06-1995
			BG 62473 B1	30-12-1999
			BG 99078 A	28-07-1995
			BG 62429 B1	30-11-1999
			BG 99198 A	28-08-1995
			CA 2123160 A1	11-11-1994
			CA 2127166 A1	02-01-1995
			CN 1099262 A ,B	01-03-1995
			CN 1116521 A	14-02-1996
			CZ 9401093 A3	16-11-1994
			CZ 9401550 A3	18-01-1995
			CZ 9402866 A3	14-06-1995
			DE 9422335 U1	13-04-2000
			DE 69400215 D1	04-07-1996
			DE 69400215 T2	31-10-1996
			DE 69414046 D1	26-11-1998
			DE 69414046 T2	22-04-1999
			DE 69427472 D1	19-07-2001
			DE 69427472 T2	08-11-2001
			DE 69429710 D1	14-03-2002
			DE 69429710 T2	08-08-2002
			DE 699436 T1	10-04-1997
			DE 729751 T1	13-03-1997
			DK 624366 T3	01-07-1996
			DK 636370 T3	28-06-1999
			DK 654263 T3	29-04-2002

## INTERNATIONAL SEARCH REPORT

International	ication No
PCT/US	uz/31022

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
GB 2284760	A		DK 699436 T3	23-07-2001
			EG 20577 A	30-08-1999
			EG 20600 A	30-09-1999
			EG 20910 A	28-06-2000
			EP 0624366 A1	17-11-1994
			EP 0636370 A1	01-02-1995
			EP 0654263 A1	24-05-1995
			EP 0699436 A1	06-03-1996
			EP 0729751 A1	04-09-1996
			ES 2088312 T3	01-08-1996
FR 2011960	A	13-03-1970	SE 335202 B	17-05-1971
			AT 287205 B	11-01-1971
			BE 734847 A	01-12-1969
			CA 933468 A1	11-09-1973
			DE 1948019 A1	25-03-1971
			FI 50059 B	01-09-1975
			FR 2011960 A5	13-03-1970
			GB 1272640 A	03-05-1972
			HU 168386 B	28-04-1976
			NL 6808619 A	23-12-1969
			NO 129381 B	08-04-1974
			US 3670065 A	13-06-1972
EP 0531524	A	17-03-1993	CA 2083385 A1	25-11-1991
			JP 2157220 A	18-06-1990
			JP 8016051 B	21-02-1996
			US 5500221 A	19-03-1996
			EP 0531524 A1	17-03-1993
			WO 9117742 A1	28-11-1991
			DE 69026115 D1	25-04-1996
			DE 69026115 T2	31-10-1996
GB 1464388	A	09-02-1977	DE 2309202 A1	29-08-1974
			BE 811386 A1	21-08-1974
			DD 108902 A5	12-10-1974
			DK 135563 B	23-05-1977
			FR 2218086 A1	13-09-1974
			JP 49116214 A	06-11-1974
			NL 7402359 A	23-08-1974
US 6200590	B1	13-03-2001	NONE	
DE 2710307	A	15-09-1977	SE 414386 B	28-07-1980
			CS 200210 B2	29-08-1980
			DD 129164 A5	04-01-1978
			DE 2710307 A1	15-09-1977
			ES 456627 A1	16-01-1978
			FR 2343473 A1	07-10-1977
			GB 1510772 A	17-05-1978
			JP 52125614 A	21-10-1977
			SE 7603157 A	11-09-1977
DE 19925710	A	14-12-2000	DE 19925710 A1	14-12-2000
US 5188840	A	23-02-1993	AT 54050 T	15-07-1990
			AT 62408 T	15-04-1991
			AT 108647 T	15-08-1994

## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 02/31022

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5188840	A	AU 579431 B2	24-11-1988
		AU 5076285 A	26-06-1986
		AU 587281 B2	10-08-1989
		AU 6273086 A	02-04-1987
		BA 98214 B1	02-08-1999
		CA 1255227 A1	06-06-1989
		CA 1282327 A1	02-04-1991
		CN 85109190 A , B	16-07-1986
		DE 3578373 D1	02-08-1990
		DE 3678661 D1	16-05-1991
		DE 3689983 D1	25-08-1994
		DE 3689983 T2	23-02-1995
		DK 108192 A	31-08-1992
		DK 455286 A	27-03-1987
		DK 583385 A	18-06-1986
		EP 0185347 A2	25-06-1986
		EP 0218148 A1	15-04-1987
		EP 0386801 A2	12-09-1990
		ES 2001993 A6	01-07-1988
		FI 855032 A , B ,	18-06-1986
		FI 863889 A , B ,	27-03-1987
		HK 65393 A	16-07-1993
		HK 65493 A	16-07-1993
		HU 40567 A2	28-01-1987
		IE 81099 B1	08-03-2000
		IE 59158 B1	12-01-1994
		IE 66255 B1	27-12-1995
		JP 2032333 C	19-03-1996
		JP 7072142 B	02-08-1995
		JP 62149630 A	03-07-1987
		KR 9307251 B1	04-08-1993
		KR 9510145 B1	11-09-1995
		MX 166095 B	18-12-1992
		NO 855048 A , B ,	18-06-1986
		NO 863796 A , B ,	27-03-1987
		PT 81680 A , B	01-01-1986
		SG 52493 G	06-08-1993
		SG 64993 G	06-08-1993
		SU 1811401 A3	23-04-1993
		US 4803213 A	07-02-1989
		YU 197385 A1	30-04-1988
US 5807579	A	15-09-1998	NONE
US 2996431	A	15-08-1961	NONE